

Client Registration Form
CLIENT REGISTRATION FORM FOR PHYSICAL AND REC

➤ Please tick any one of the requisite category:

 Both (Physical Market Segment & Renewable Energy Certificates)

 Only Renewable Energy Certificates (REC)

1. If client is already registered for Physical Market with the Power Exchange India Limited, provide following details

 Yes (If Yes, Kindly fill below details) No

a) Name of the PXIL Member with whom registered _____

b) PXIL Client Registration Code _____

2. If client is already registered for REC trading with the Power Exchange India Limited, provide following details

 Yes (If Yes, Kindly fill below details) No

a) Name of the PXIL Member with whom registered _____

b) PXIL Client Registration Code _____

3. Name of Applicant (Client): _____

4. Constitution: Individual/ Partnership firm/ Corporate/ Government Organization /Others (please specify): _____

5. Date of Birth / Incorporation / Registration: _____

6. Permanent Account No (PAN No): _____ (TAN No): _____

 7. Office Address and Contact Details of Client: Please tick if both addresses are same; else fill in both columns

	REGISTERED OFFICE / PRINCIPAL PLACE OF BUSINESS	CORRESPONDENCE/MAILING ADDRESS
Flat/Door/Block no.		
Premise/ Building		
Road/Street		
Area/Locality/Taluka		
City		
District		
State/Union Territory		
Pin code		
STD Code		
Telephone No.		
Mobile No.		
Fax		
E-mail ID		
Website		

8. Client Settlement Account Details*: (Mandatory for Clients of AM)

Bank Name:		Settlement A/c Number:	
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*Clients of AM can Settle their obligation through **Settlement account** or through facility of **pool account** provided by the Exchange for which the client will have to open settlement account with Exchange empanelled Clearing Bank and **provide 5 point confirmation** or provide **Authorization Letter** for carrying out financial settlement of its own trades along with KYC document (**Original Cancelled Cheque** with printed Beneficiary Name or If Original Cancelled Cheque is without printed Beneficiary Name then Original Self Attested copy of the **bank pass book** and Original Cancelled Cheque) Prescribed by Exchange.

Initial of Client Sig 1 Sig 2 Rubber stamp

Client Registration Form
DETAILS FOR PHYSICAL SEGMENT

 Please tick any one of the requisite category: Grid Connected Client Details Trader Client Details

9. Connectivity Details

i) MAXIMUM CAPACITY TO INJECT	
ii) Maximum Capacity of Draw	
iii) Voltage Level	
iv) Point of Connection (Name of EHV station of ISTS/InSTS)	
v) If applicant is embedded in DISCOM	
Name of DISCOM	
State	
vi) In case of Open Access Standing Clearance	
Open Access Permission Valid up to	
Below Points Applicable for Trader Clients Only	
i. Copy of Power Purchase/Sale Agreement	
ii. Expiry Date of Power Purchase/Sale Agreement	
iii. Entity on whose behalf power is being purchased/sold	

DETAILS FOR REC SEGMENT

 Client Category: **Eligible Entity (RE Generator)** **Obligated Entity** **Voluntary Entity**
10. Eligible Entity (RE Generator) (Seller Client Details)

 Tick the relevant category Existing Proposed

RE units proposed to be mapped under this client registration.

No. *	Project Name & Unit No.	Installed Capacity	Accreditation Certificate No.**	Registration Certificate No.**

* If units to be registered are more than five, enclose details of remaining registered units on a separate sheet in the above format

* Each registered entity will be given a unique Client ID and CA Client ID and treated as separate client. (Mandatory)

**Copy of Accreditation & Registration Certificate to be enclosed (Mandatory)

11. Obligated Entity (Buyer Client Details)

 Category of Obligated Entity: Distribution Licensee Open Access Consumer Captive User Voluntary Entity

No.*	State(s) of which RPO to be met	Buyer / Registration No. (if issued by Nodal Agency NLDC):	City	State	Pin Code (of Plant/ Unit)

* If units to be registered are more than five, enclose details of remaining registered units on a separate sheet in the above format

Initial of Client Sig 1 Sig 2 Rubber stamp

Client Registration Form

12. Client Declaration to Member:

I/We hereby authorize the Member under whom I/We is/are registered to submit orders on my/our behalf. I/We hereby further declare that order(s) placed on Power Exchange India Limited (PXIL) trading system by the Member on my/our behalf shall be deemed to have received prior approval from me/us.

I/We hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform member/exchange of any changes therein immediately. In case any of the above information(s) is found to be false or untrue or misleading or misrepresenting I/We is/are aware that I/We may be held liable for it.

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am aware that I may be held liable for it.

Authorized Signatories Signature(s) *:

(Names of the signatories)

Place: _____

Date: _____

*To be signed by Client

Affix photograph and
sign across the
photograph

Affix photograph and
sign across the
photograph

For Member Office Purpose (To be completed, signed and authorized by the member)

Received Member-Client Agreement/Undertaking on a Non-Judicial Stamp paper and have provided Risk Disclosure Document to Client

Verified by: _____
(Name)

(Signature)

(Member Code & Member Name)

Rubber Stamp

Client Registration Form
Documents to be submitted by Member to Exchange for its client

Sr. No	Documents	Applying For Physical Segment			Applying For REC		If Applying for Both Segments
		Grid Connected Client	Trader Client	If Client is Existing in REC at PXIL	New Client	If Client is Existing in Physical at PXIL	
1	Copy of Client Registration form duly signed by Member.	Yes	Yes	Yes	Yes	Yes	Yes
2	Copy of Power Purchase Agreement (PPA) / Sale Agreement	No	Yes	Yes for Trader Client	No	No	Yes for Trader Client
3	Copy of Standing Clearance from SLDC/RLDC.	Yes	Yes	Yes	No	No	Yes
4	Copy of Accreditation & Registration Certificate only	No	No	No	Yes	Yes	Yes for REC Client

Documents to be submitted by Advisory Member to Exchange for its client

Sr. No	Documents	Applying For Physical Segment		Applying For REC		If Applying for Both Segments
		Grid Connected Client	If Client is Existing in REC at PXIL	New Client	If Client is Existing in Physical at PXIL	
1	Copy of Client Registration form duly signed by Member.	Yes	Yes	Yes	Yes	Yes
2	Copy of Standing Clearance from SLDC/RLDC.	Yes	Yes	No	No	Yes
3	Copy of Accreditation & Registration Certificate only	No	No	Yes	Yes	Yes for REC Client
4	Original Trading & Clearing undertaking on Rs. 200/- Stamp paper	Yes	Yes	Yes	Yes	Yes
5	Authorisation to Advisory Member from Its Client to Exchange	Yes	Yes	Yes	Yes	Yes
6	Five point Confirmation (If Pool Account Facility is not Required)	Yes	Yes	Yes	Yes	Yes
7	Bank Confirmation (If Pool Account Facility is Required)	Yes	Yes	Yes	Yes	Yes